#9

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)	Examiner: Unassigned
Douglas B. Moran)	
Application No. 09/651,854)	Art Unit: 1714
Filed: August 30, 2000)	October 9 , 2001
For: SYSTEM AND METHOD FOR USING) LOGIN CORRELATIONS TO DETECT) INTRUSIONS)	

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on October 9 , 2001.

Signed:_____

Jennifer C. Gross

FOURTH REQUEST FOR CORRECTED FILING RECEIPT

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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
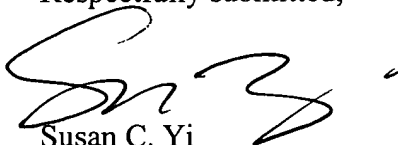Washington, D.C. 20231

Sir:

Enclosed is a copy of the Corrected Filing Receipt for the above-identified patent application. Also enclosed with this request is a copy of the page 1 of the above-referenced patent application showing the Cross Reference to Related Applications as filed on August 30, 2000. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change the Continuing Data as Claimed by Applicant "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999" to -- "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999 **AND** 09/615,967 07/14/2000" --.

Attorney Docket No. <u>RECOP013</u>

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-0685 (Order No. RECOP013).

Respectfully submitted,

Susan C. Yi
Reg. No. 39,883

VAN PELT & YI LLP
4906 El Camino Real
Suite 205
Los Altos, CA 94022
(650) 903-3500

Attorney Docket No. <u>RECOP013</u>

SYSTEM AND METHOD FOR USING LOGIN CORRELATIONS TO DETECT INTRUSIONS

CROSS REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Provisional Patent Application No.

5   60/151,531 entitled "SYSTEM AND METHOD FOR PROVIDING COMPUTER

SECURITY" filed August 30, 1999, which is incorporated herein by reference for all

purposes, and to U.S. Patent Application No. 09/615,697 entitled "SYSTEM AND

METHOD FOR COMPUTER SECURITY" filed July 14, 2000, which is incorporated

herein by reference for all purposes.

10   This application is related to co-pending U.S. Patent Application No.

_____ (Attorney Docket No. RECOP011) entitled SYSTEM AND METHOD

FOR DETECTING COMPUTER INTRUSIONS filed concurrently herewith, which is

incorporated herein by reference for all purposes; and co-pending U.S. Patent Application

No. _____ (Attorney Docket No. RECOP012) entitled EXTENSIBLE

15   INTRUSION DETECTION SYSTEM filed concurrently herewith, which is incorporated

herein by reference for all purposes; and co-pending U.S. Patent Application No.

_____ (Attorney Docket No. RECOP014) entitled SYSTEM AND METHOD

FOR USING SIGNATURES TO DETECT COMPUTER INTRUSIONS filed

concurrently herewith, which is incorporated herein by reference for all purposes; and co-

20   pending U.S. Patent Application No. _____ (Attorney Docket No. RECOP015)

entitled SYSTEM AND METHOD FOR ANALYZING FILESYSTEMS TO DETECT

UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY #9

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/651,854	08/30/2000	Douglas B Moran	RECOP013

CONFIRMATION NO. 2198

21912
RITTER VAN PELT & YI, L.L.P.
4906 EL CAMINO REAL
SUITE 205
LOS ALTOS, CA 94022

OC000000006932882

Date Mailed: 10/18/2001

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RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.

☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.

☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

☐ The docket number allows a maximum of 25 characters.

☑ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).

☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.

☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.

☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

A copy of this notice __MUST__ be returned with the reply.

_____

Customer Service Center
Initial Patent Examination Division (703) 308-1202
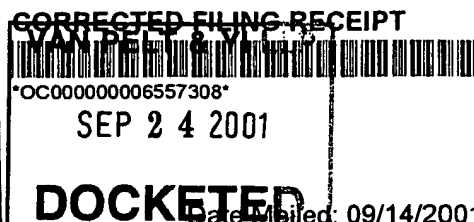
PART 3 - OFFICE COPY

OCT 15 2001

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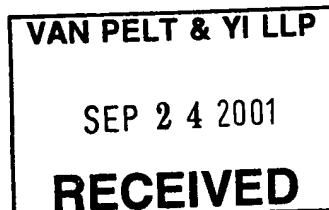
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/651,854	08/30/2000	2131	1152	RECOP013	11	24	6

CONFIRMATION NO. 2198

21912
RITTER VAN PELT & YI, L.L.P.
4906 EL CAMINO REAL
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VAN PELT & YI LLP

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CORRECTED FILING RECEIPT
VAN PELT & YI

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Date Mailed: 09/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Douglas B Moran, Palo Alto, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999
AND 09/615, 697 7/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/26/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

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Title

System and method for using login correlations to detect intrusions

Preliminary Class

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